

RESTAURANT AUGUST NEW ORLEANS, LA

MAY 23, 2012

ATTORNEY ADVERTISEMENT

—LUNCHEON OVERVIEW—

11:30 AM–12:15 PM

Investigating Fraud in Investment Management

Speakers:

Michael W. Wagner

Mr. Wagner is a special counsel in Jones Walker's New Orleans office. His practice focuses on preventive and litigation services for businesses and individuals in corporate and white collar criminal matters. He also represents individuals and companies in connection with grand jury and other investigations. Mr. Wagner's particular experience is in anti-corruption compliance and litigation matters on both a domestic and international level. He served as a federal prosecutor in New Orleans for 20 years, and has also served as supervisor of the U.S. Attorney's Office Anti-Terrorism/Crisis Response Unit, Organized Crime Strike Force, and Violent Crime Unit.

Alton Sizemore, Jr., CPA, CFE, CFF

Mr. Sizemore is Director of Investigations at Forensic/Strategic Solutions, PC. Mr. Sizemore specializes in the areas of forensic accounting, fraud examination, and litigation support. He is retired from the Federal Bureau of Investigation with twenty-five years of experience. Mr. Sizemore served as Assistant Special Agent in Charge of the Birmingham Division of the FBI. He is a faculty member of the Association of Certified Fraud Examiners.

12:15 PM–1:00 PM

Consolidated FINRA Rules Governing Know-Your-Customer and Suitability Obligations

Speakers:

Robert B. Bieck, Jr.

Mr. Bieck is a partner in Jones Walker's New Orleans office. He focuses his practice primarily on the areas of securities and corporate governance litigation. Mr. Bieck represents securities brokers, banks and other financial institutions, and corporations, as well as their directors, officers, and other employees, in matters arising under federal and states securities laws, general corporate laws, banking laws and the Employee Retirement Income Security Act.

Katharine F. Musso, CAMS, CFE

Ms. Musso is a partner in Jones Walker's Banking & Financial Services Practice Group and practices from the firm's New Orleans and Birmingham offices. Ms. Musso has over 25 years of experience representing financial institutions before state and federal regulators. She is a Certified Fraud Examiner and a Certified Anti-Money Laundering Specialist.

MEET THE SPEAKERS:

ROBERT B. BIECK, JR.

PARTNER, JONES WALKER

MICHAEL W. MAGNER

SPECIAL COUNSEL, JONES WALKER

KATHARINE F. MUSSO

PARTNER, JONES WALKER

ALTON SIZEMORE, JR.

*DIRECTOR OF INVESTIGATIONS,
FORENSIC/STRATEGIC SOLUTIONS, PC*

LOCATION

RESTAURANT AUGUST
301 TCHOUPITOU LAS STREET
NEW ORLEANS, LA 70130
WEDNESDAY, MAY 23, 2012
11:30 AM–1:00 PM

Certain states may require this be labeled ATTORNEY ADVERTISEMENT.

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