

Partner

205.244.5211

Fax: 205.244.5411

kmusso@joneswalker.com

Practice Areas

Banking & Financial
Services

Corporate & Securities

Corporate Compliance &
White Collar Defense

Insurance Business,
Regulatory & Government
Relations Practice

Investment Management
Legal Services

The JOBS Act Resource Page

Bar Admissions

Alabama, 2000

Georgia, 1997

Louisiana, 2010

Massachusetts, 1987

New Hampshire, 1991

Education

Harvard Law School, J.D.,
1987

Harvard College, B.A., 1984,
cum laude; John Harvard
Scholar



Katharine Musso is a partner in the firm's Banking & Financial Services Practice Group, and has 25 years of experience representing banks and financial institutions before state and federal regulators. She is a Certified Fraud Examiner (CFE) as certified by the Association of Certified Fraud Examiners and a Certified Anti-Money Laundering Specialist (CAMS) as certified by the Association of Certified Anti-Money Laundering Specialists.

Ms. Musso provides regulatory and general corporate legal services to a wide range of financial institutions, banks, bank holding companies, insurance companies, broker-dealers, investment advisers, and private equity funds.

Ms. Musso advises clients on regulatory matters including bank charters, holding company applications, mergers and acquisitions, Forms A, B-D, and ADV. Her practice includes regulatory counseling with respect to the requirements of all of the federal banking agencies, FINRA and the SEC. Her compliance counseling covers risk management, the Bank Secrecy Act (BSA), Anti-Money Laundering (AML), data security, privacy, fraud, internal investigations, and affiliate transactions.

Noteworthy

- **AV® Peer Review Rating in Martindale-Hubbell**
- Listed in *The Best Lawyers in America*® 2012 (Copyright 2011 by Woodward/White, Inc., Aiken, SC) in the area of Securities / Capital Markets Law
- Certified Anti-Money Laundering Specialist
- Certified Fraud Examiner

Presentations

- Investment Management Legal Services Quarterly Roundtable Luncheon Series
Restaurant August, New Orleans, Louisiana, May 23, 2012
- "Document Retention and Destruction Policies for Financial Institutions"
Live audio conference, August 24, 2011
- "Dodd-Frank Takes Aim at Private Equity"
New Orleans, Louisiana, June 14, 2011
- Jones Walker Bank Merger Seminar
New Orleans, Louisiana, April 12, 2011
- "Mergers and Acquisitions: Nuts and Bolts"
December 1, 2010
- "Overdraft Fee and Credit Card Practices: New Regulations and Litigation Trends"
Live webinar, November 2, 2010
- "The Oil Spill: What Will it Mean to Banks in the Region?"
August 31, 2010
- "Overdraft Opt-Ins: Protecting Your Bank Under Regulation E"
Live teleconference, March 30, 2010

Publications

- "Understanding Insurance Regulation and Coverage"
Inside The Minds, Aspatore Books, 2008

Memberships

- Alabama State Bar (Chair, Insurance Committee)
 - Association of Certification Anti-Money Laundering Specialists
 - Association of Certified Fraud Examiners
 - Birmingham Bar Association
 - Community Bankers Association of Alabama
 - Georgia Bankers Association
 - Louisiana Bankers Association
 - Louisiana Bar Association
 - Massachusetts Conveyancers, Inc. (Member, Board of Directors, 1994–1996)
-

- Massachusetts Bar Association (Chair, Section of Property Law, 1995–1996)
 - New Hampshire Bar Association
 - State Bar of Georgia
- 