

AB¹CLE

Alabama Bar Institute for Continuing Legal Education

2005 CORPORATE COMPLIANCE SEMINAR

Friday, February 11, 2005

The Tutwiler Hotel - A Wyndham Historic Hotel
Birmingham, Alabama
7 MCLE hours,
including 1 hour of Ethics

While in Birmingham:

The Birmingham Museum of Art located at 2000 8th Ave N,
downtown will feature the following exhibits by Alabama Artists:

One True Thing: Mediations on Black Aesthetics

by Kerry James Marshal

Bill Traylor, William Edmondson, and the Modernist Impulse

by Bill Traylor and William Edmondson

Admission is Free.

Museum hours: Tuesday - Saturday 10-5 and Sunday 12-5.

THE UNIVERSITY OF
ALABAMA
SCHOOL OF LAW

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Tutwiler Hotel - Birmingham, Alabama

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- 8:00 Registration and Continental Breakfast Buffet**
- 8:20 Welcome**
- | | |
|--|---|
| Anita K. Hamlett
Associate Director of ABICLE
Tuscaloosa, Alabama | President:
Pamela H. Bucy
The University of Alabama
School of Law
Tuscaloosa, Alabama |
|--|---|
- 8:30 An Overview of Sarbanes-Oxley**
- The Sarbanes-Oxley Act and Related Rulemaking, Officers and Directors, Board Independence, Shareholder Rights, Auditing and Reporting
 - Where Governance Reform is Headed: Issues to Watch
- George S. Geis**
The University of Alabama School of Law
Tuscaloosa, Alabama
- Daniel B. Graves**
Graves & Tompkins LLC
Birmingham, Alabama
- 9:30 Corporate Compliance - Post Sarbanes-Oxley: A Look at Individual Case Studies**
- Pamela H. Bucy**
The University of Alabama School of Law
Tuscaloosa, Alabama
- Cecilia J. Collins**
Johnstone Adams Bailey Gordon & Harris LLC
Mobile, Alabama
- Anthony A. Joseph**
Johnston Barton Proctor & Powell LLP
Birmingham, Alabama
- 10:15 Refreshment Break**
- 10:30 When Trouble Comes Knocking**
- When the Government Requests Information
 - Avoiding Obstruction of Justice for Your Client and Yourself
 - Subpoenas
 - Discovery
 - Pitfalls to Avoid
- Jonathan L. Diesenhaus**
United States Department of Justice, Civil Fraud Section
Washington, DC

Henry I Frohsin, moderator

Baker Donelson Bearman Caldwell & Berkowitz PC
Birmingham, Alabama

Robert E. Hauberg

Baker Donelson Bearman Caldwell & Berkowitz PC
Jackson, Mississippi

James F. Neal

Neal & Harwell PLC
Nashville, Tennessee

**12:00 Lunch Served at the Tutwiler Hotel
Luncheon Topic: The Impact of Corporate Compliance on
the HealthCare Industry**

Dr. Mark S. Williams, M.D., M.B.A and J.D.
Birmingham, Alabama

1:30 Basis of Representation

- Insurance ■ Multiple Representation ■ Privilege
- 5th Amendment

Joseph B. Mays Jr., moderator

Bradley Arant Rose & White LLP
Birmingham, Alabama

Panelists to be named

2:30 Refreshment Break

2:40 Parallel Proceedings

- Arbitration ■ Employment ■ Government Lawsuits
- Qui Tam ■ Disbarment ■ Private Lawsuits

Pamela H. Bucy, moderator

The University of Alabama School of Law
Tuscaloosa, Alabama

David B. Byrne Jr.

Capell & Howard PC
Montgomery, Alabama

Jonathan L. Diesenhaus

United States Department of Justice, Civil Fraud Section
Washington, DC

Ben H. Harris III

Miller Hamilton Snider & Odom LLC
Mobile, Alabama

Edward A. Hosp

Maynard Cooper & Gale PC
Montgomery, Alabama

Cathy S. Wright

Attorney at Law
Birmingham, Alabama

4:10 Adjourn

Things You Need to Know

LUNCH INCLUDED: Be sure and plan to stay for lunch in order to receive the full 7 hours of credit.

SPEEDY CHECK-IN: Bring your confirmation receipt with you for a speedy check-in the day of the seminar!

CONFIRMATIONS: Confirmation will be sent if registration is received at least seven days before the seminar.

CANCELLATION POLICY: Full refunds will be given if we are notified 48 hours before the start of the seminar. For later cancellations you may either apply the entire registration fee to another seminar or receive the program materials and audiotapes.

MCLE CREDIT: This course has been approved by the Alabama State Bar Mandatory Continuing Legal Education Commission for **seven hours** credit, **including 1 hour of ethics**. It is the responsibility of each attorney to maintain attendance records. Attendance certificates for persons licensed in other states will be available at the seminar.

TUITION ASSISTANCE: Tuition assistance is available through ABICLE for a limited number of attorneys. To apply submit a written request to Associate Director, Box 870384, Tuscaloosa, AL 35487.

The Tutwiler Hotel

**The Tutwiler Hotel - 2021 Park Place N.
Birmingham, Alabama**

From the Birmingham Airport (Interstate 20/59 South): Exit at 22nd Street N. Turn left at the light. Turn right onto Park Place (between 6th and 7th Avenues). Go one block and the Tutwiler is on the left.

From Hwy 280: Go North to Hwy. 31/280. Exit 1st Ave. North. Turn left onto 1st Ave. N. Turn right onto 21st Street (Richard Arlington Blvd). Turn left on Park Place. The hotel is on the left side of the road.

Phone Number: 205-322-2100.



Planning Committee

Pamela H. Bucy, Chair

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Tuscaloosa, Alabama*

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